

(and...beyond-limb flare prediction) with a

4π Full-Heliosphere Forecasting Framework

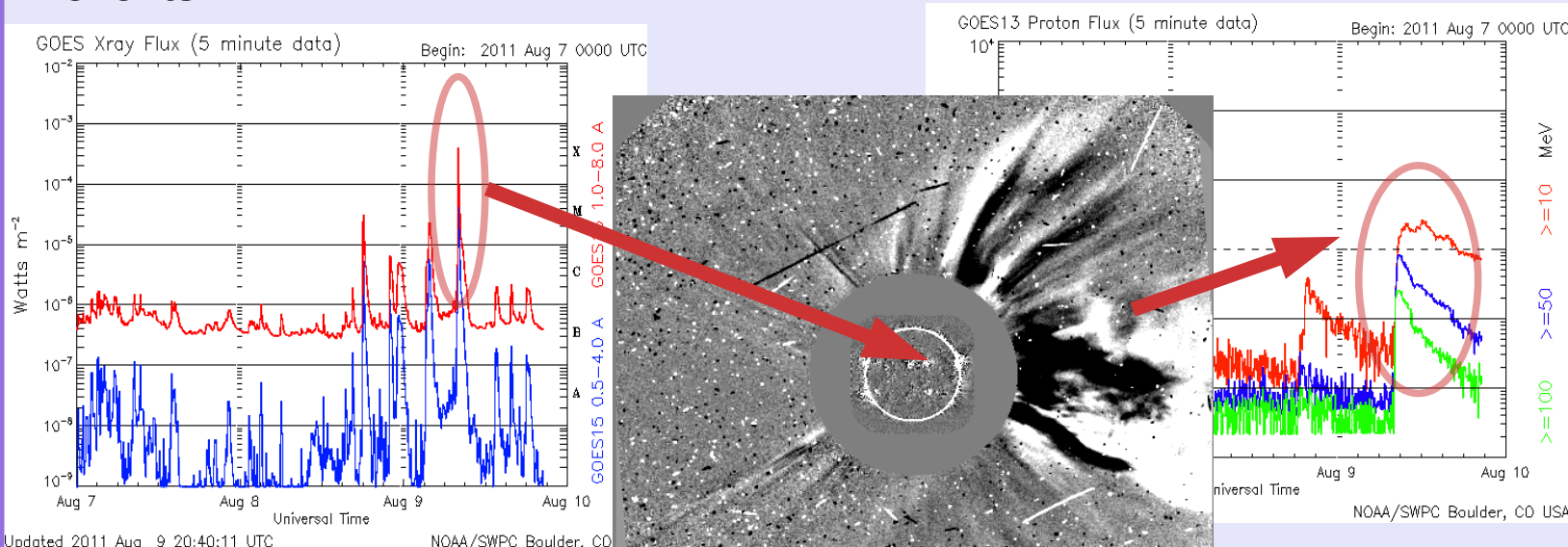
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NorthWest Research Associates

Kiran Jain,
National Solar Observatories

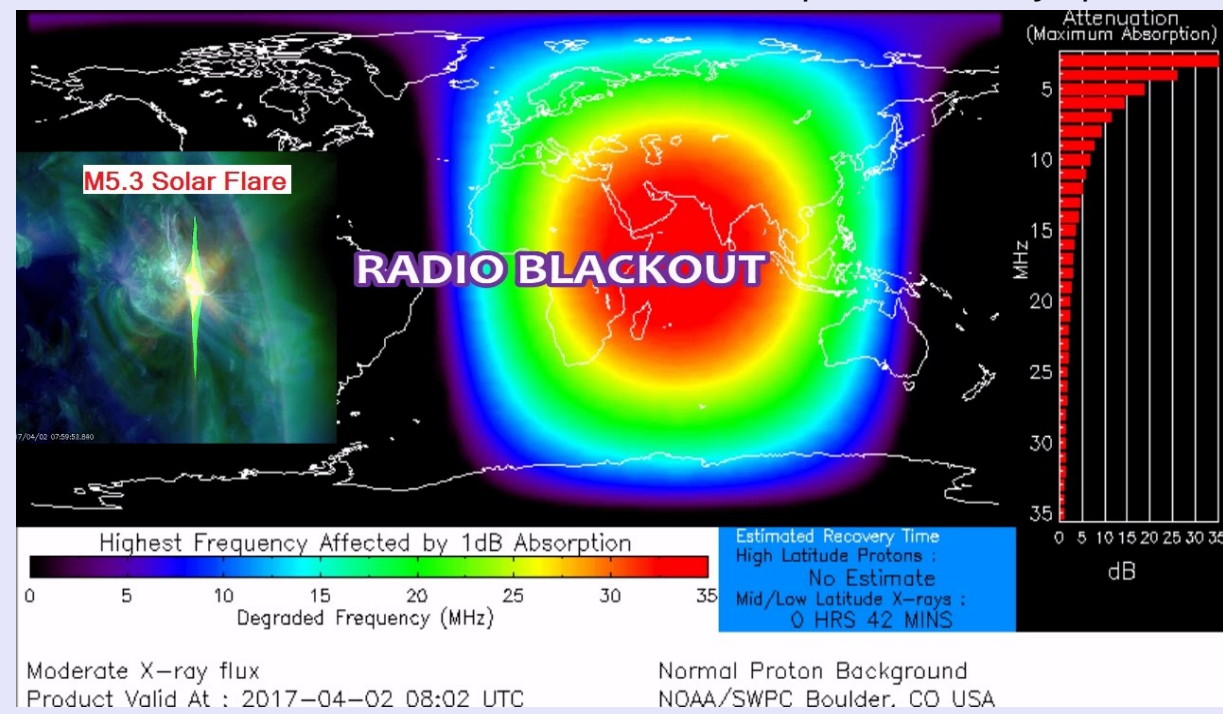
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MOTIVATION:

- Flares are the "initiating" or "reference" events for many space weather phenomena.
- Causally related to Coronal Mass Ejections, Solar Energetic Particle events.

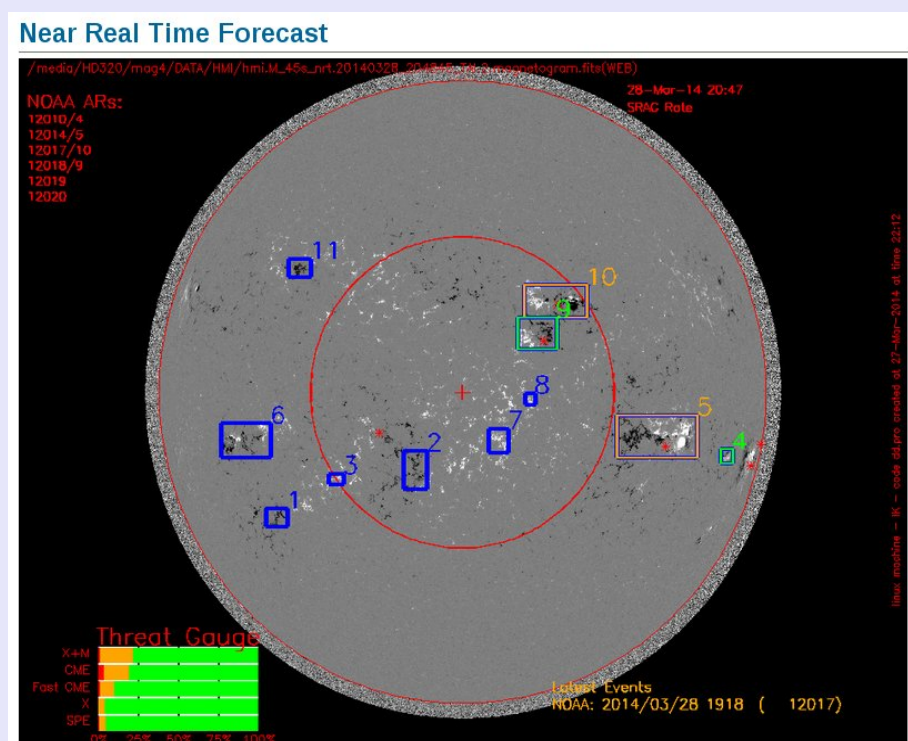


- Source of ionospheric disturbances themselves:

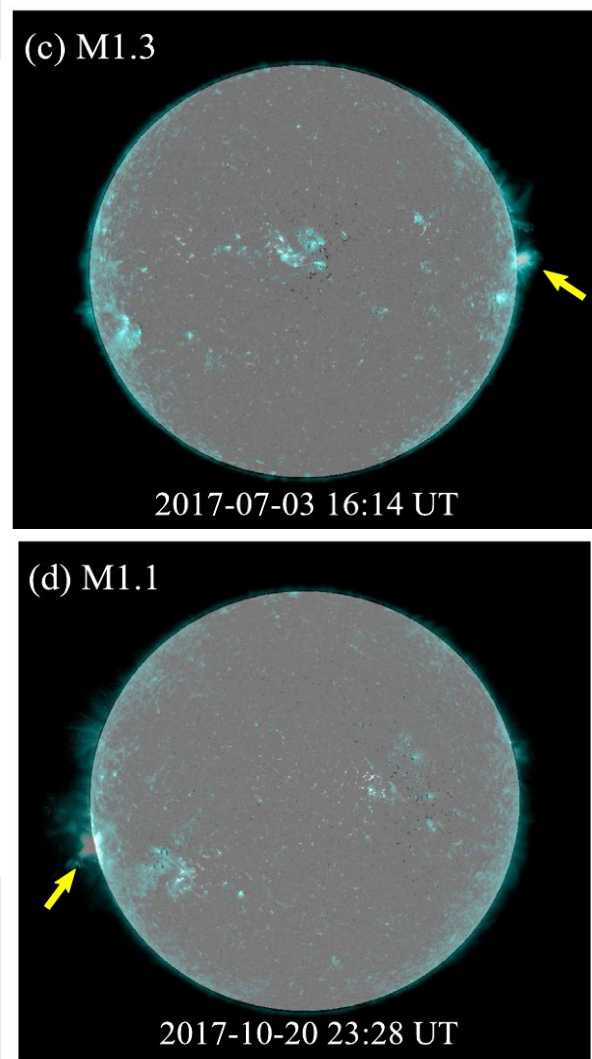


Known Operational Failure Mode: Limb Flares & "4π Forecasts"

- Most prediction methods miss predictions for flares near, at, or just beyond the solar limb.



LEFT: Example flare forecasting method (MAG4); regions inside red circle are "most reliably" predicted, no predictions are provided for regions near (or beyond) the visible limb.
RIGHT: Examples of recent medium-sized limb flares, both of which caused ionospheric disturbances & HF outages.



However

- X-rays can heavily impact the ionosphere, even when the Active Region is partially occulted.
- West-limb flares can produce Earth-directed SEPs.
- Space weather predictions are soon needed for non-Earth solar system locations (e.g., Mars).

Limb-flare / 4π Forecast Challenge:

- Correctly predict flares at ±90° +/- 30° Stonyhurst longitude
- Develop 4π ("full-Heliosphere") flare forecast capability.

STATISTICAL METHODOLOGY

NWRA Classification infrastructure [Leka&Barnes 2007; Leka+2018, Barnes+2025 (in prep)]

- Multi-parameter NonParametric NonLinear Discriminant Analysis
- Statistical classification ("proof of concept" for forecasting)

TEST SET-UP:

- 24hr validity, C+, M+
- 3x/day sampling (independent parameter calculations, 8hr of evolution)
- 6.5 years of data: 2010.06.01 – 2016.12.30
- "region-by-region" and "full-disk" (sun-as-a-star) tests

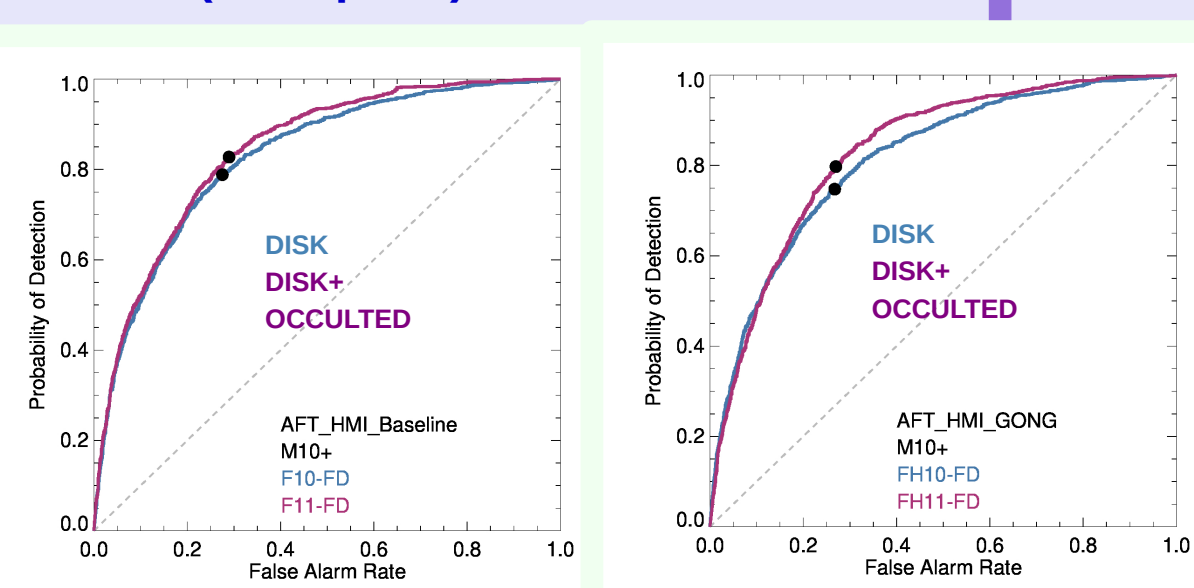
Sample Description	Region-by-Region	
	On-Disk Regions	Occulted Regions
AFT-ARs ("HMI Baseline")	23,485	4,825
C1.0+ flares	5,929	302
M1.0+ flares	1,012	67
AFT-ARs ("HMI + GONG")	23,892	6,580
C1.0+ flares	5,923	522
M1.0+ flares	1008	127
Full-Disk		
Sample Description	# Samples	#C1.0+ Flares / # M1.0+ Flares
AFT-ARs ("HMI Baseline")	6,911	3,895 / 950
AFT-ARs ("HMI + GONG")	6,944	3,995 / 1002

EVALUATION:

- Evaluate the system for improvement by comparing scenarios that have, vs. do not have, occulted-region information.

- Case "DISK":
 - only-on-disk data used to "train"
 - beyond-limb forecasts = 0.0 (penalized for missing occulted-region flares)
- Case "DISK+OCCULTED":
 - on-disk data used to "train"
 - on-disk and occulted regions provide probabilistic forecasts
- When necessary, a "probability threshold" is used that reflects the DISK-based event rates.
- Occulted-region flares are likely undercounted. Flares physically know no location preference, so the on-disk event rate should be "universal".

EVALUATION: Sample Receiver Operation Curves (ROC-plots)



ROC plots indicate performance over the full range of probability thresholds with ROCSS = 2*(area-under-curve)-1.0 = area above "x=y" line. Of note here, there is a larger difference between DISK and DISK+OCCULTED for the AFT+GONG over the AFT Baseline.

EVALUATION: Region-by-Region

Difference in # "missed" events (over all) | How many near- & beyond-limb events were successfully "forecast" | ROCSS evaluates the ROC curves, Brier Skill Scores (BSS) summarize Reliability plots. 0.0 = "no skill", 1.0 = "perfect" (these are respectable)

Test	Event Definition	Climatology logy (R)	Δ(FN) (@P _{th} = R)	TP (Vis1) (@P _{th} = R)	ROCSS	ΔROCSS	BSS	ΔBSS
DISK	C1.0+	0.252		0	0.606		0.255	
DISK+OCCULTED	" "		-10	116	0.617	+0.011	0.264	+0.009
DISK	M1.0+	0.043		0	0.676		0.161	
DISK+OCCULTED	" "		-26	34	0.734	+0.058	0.178	+0.017
DISK	C1.0+	0.248		0	0.574		0.232	
DISK+OCCULTED	" "		+31	155	0.584	+0.010	0.240	+0.008
DISK	M1.0+	0.042		0	0.615		0.149	
DISK+OCCULTED	" "		-24	60	0.718	+0.103	0.151	+0.002

EVALUATION: East- vs. West-Limb Flares: Does the Helioseismology help? (YES!)

- AFT by itself should improve West-limb flares preferentially.
- Imbalance Ratio for successful limb "hits" (correct event forecasts):
 $H = \#East - \#West / N = 0$ for balance.
- With the helioseismology, H decreases, showing improved East-limb forecasts.

East vs West "Occ" Success (Region-by-Region)					
Test	Event Def.	Climatology	N _{East}	N _{West}	Imbalance (H)
AFT	C1.0+	0.252	8	108	0.86
AFT+seis.	C1.0+	0.248	45	110	0.42
AFT	M1.0+	0.043	2	32	0.88
AFT+seis.	M1.0+	0.042	24	36	0.20

BOTTOM LINE / SUMMARY

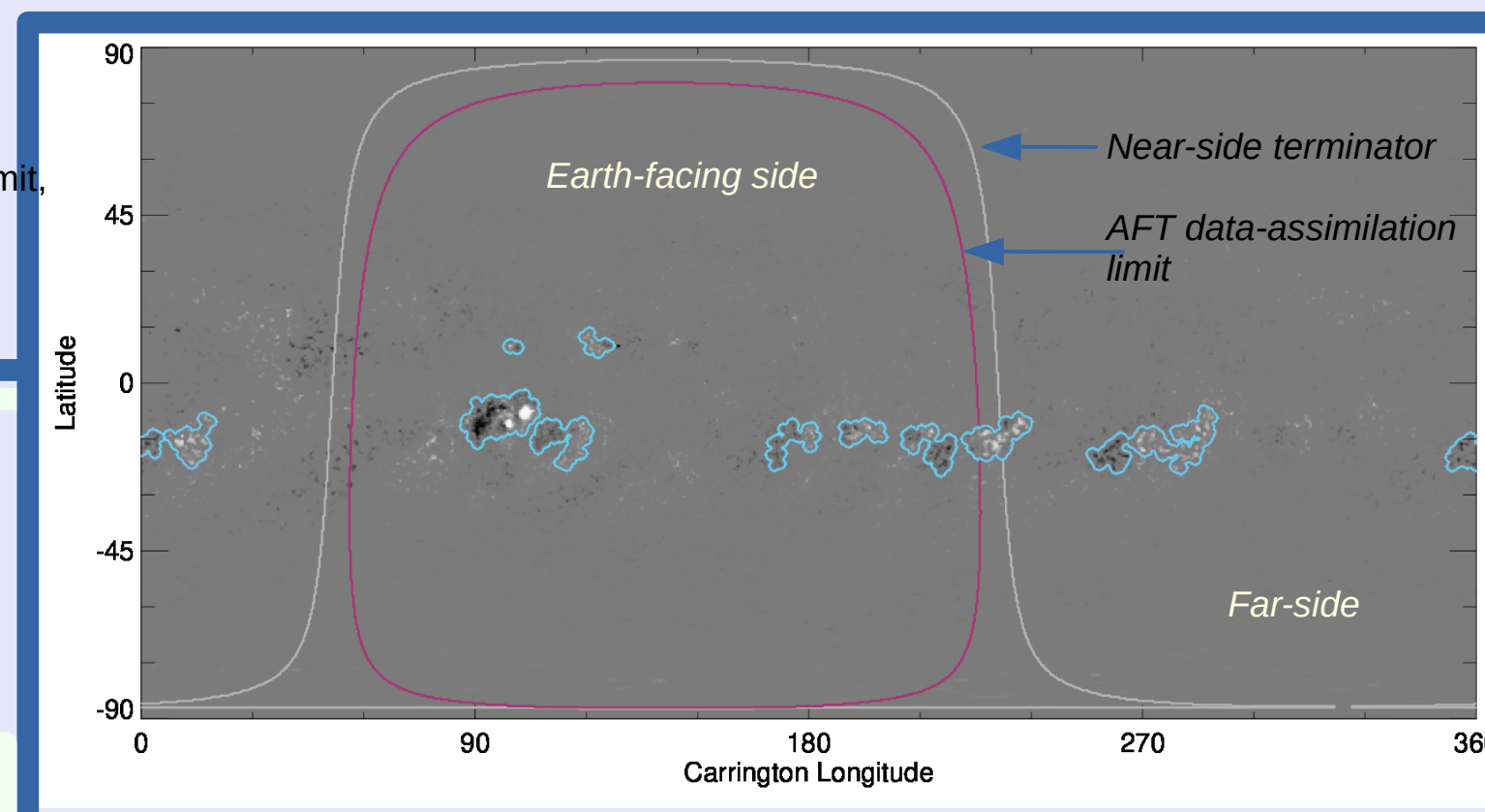
- We demonstrate a framework for full-heliosphere "4π" Solar Energetic Event Forecasting.
- Multiple levels of infrastructure were developed.
- Proof of Concept was evaluated against limb-flare forecasting failures with positive results.

Limb Flares & 4π Forecasts: Basic (initial) Approach: Forecasting based on Carrington-grid Magnetic Field characterization.

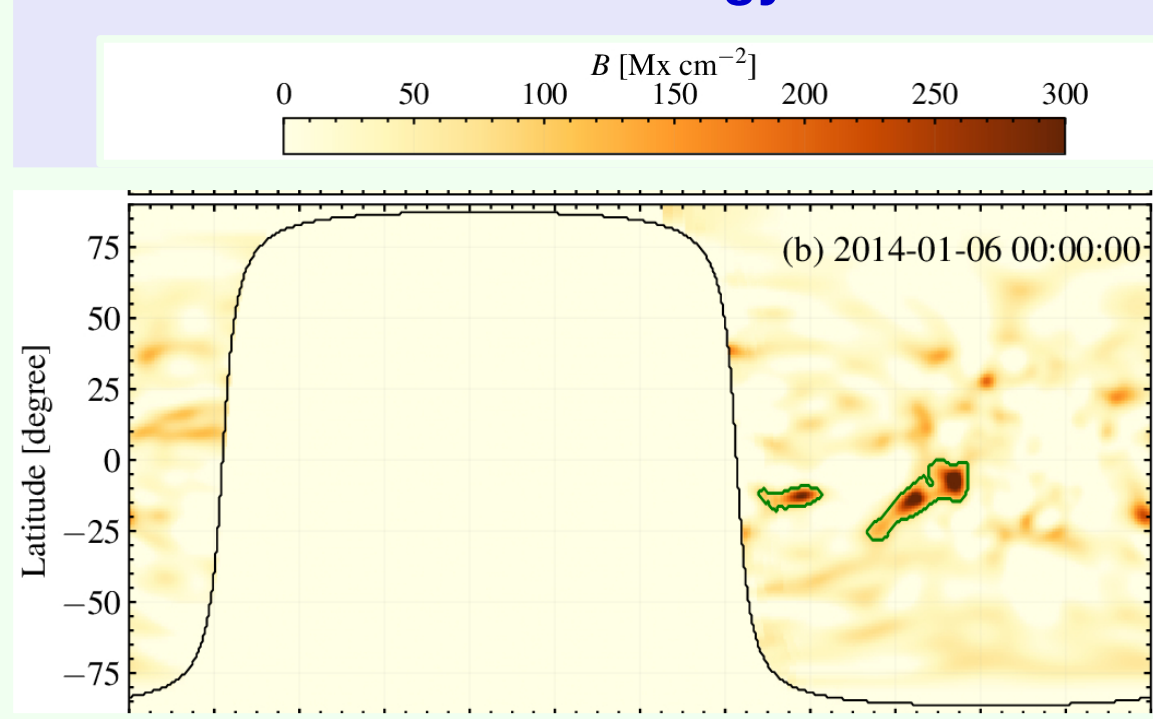
- Advective Flux Transport (AFT) model (Upton & Hathaway 2014) produces 4π solar photosphere magnetic field maps
- Data from Earth-facing HMI B_{los} is assimilated (hourly) and an estimate of Br is produced.
- AFT solves the radial component of the induction equation, evolving the system every 15 minutes:
 - Br: radial magnetic field
 - U: horizontal flows including convective and axisymmetric (differential rotation, meridional circulation)
 - S term: magnetic source term (new flux emergence)
 - η term: (numerical) diffusivity term

$$\frac{\partial B_r}{\partial t} + \nabla \cdot (\vec{u} B_r) = S(\theta, \phi, t) + \eta \nabla^2 B_r$$

Example frame from AFT showing surface flux, solar limb (near/visible-side is image-center), the AFT assimilation limit, and identified active regions (from Jha+2025). Here, only near-side data from HMI is assimilated ("default" or "baseline" mode).



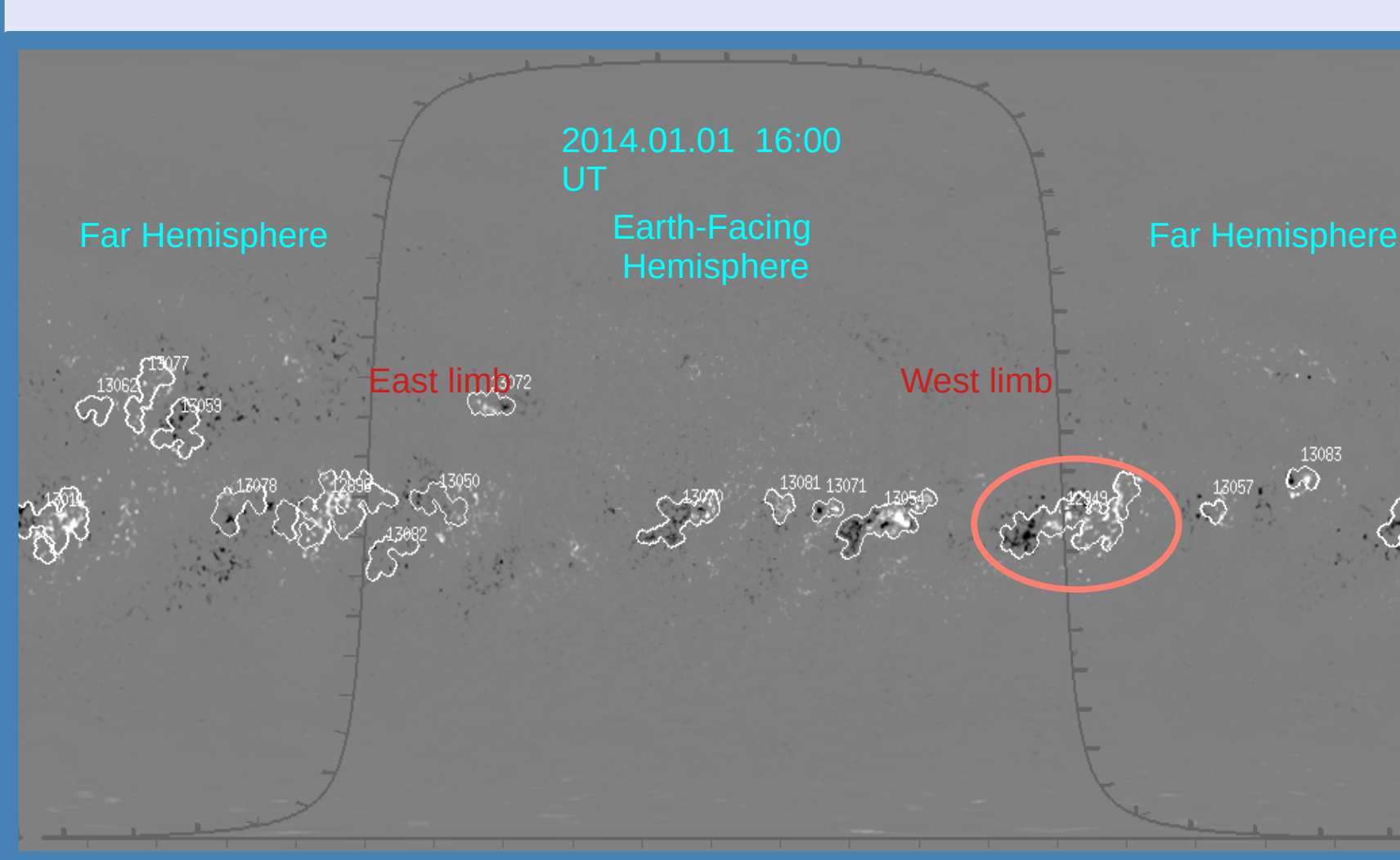
Adding "invisible" information: Far-Side Helioseismology



ABOVE: identification of GONG-based active regions on the far side for 2014-01-06T00:00 (the target). Adopted ARs are based on size but also persistence before being considered "real", and incorporated into AFT.

- Far-side regions detected from Far-Side Helioseismology
 - Global phase-shift maps from the Global Oscillations Network Group (GONG) [Harvey+1996; Jain+2021].
 - Regions identified based on phase-shift magnitude and persistence
 - Estimates of magnetic flux based on phase-shift strength, signal size (area). [Gonzalez-Hernandez+2007,2014,MacDonald+2015]
- Imported to AFT:
 - Informs S(θ, φ, t): new magnetic bipoles follow Hale's law and Joy's law
 - AFT is further "informed" with information on active region growth, etc.

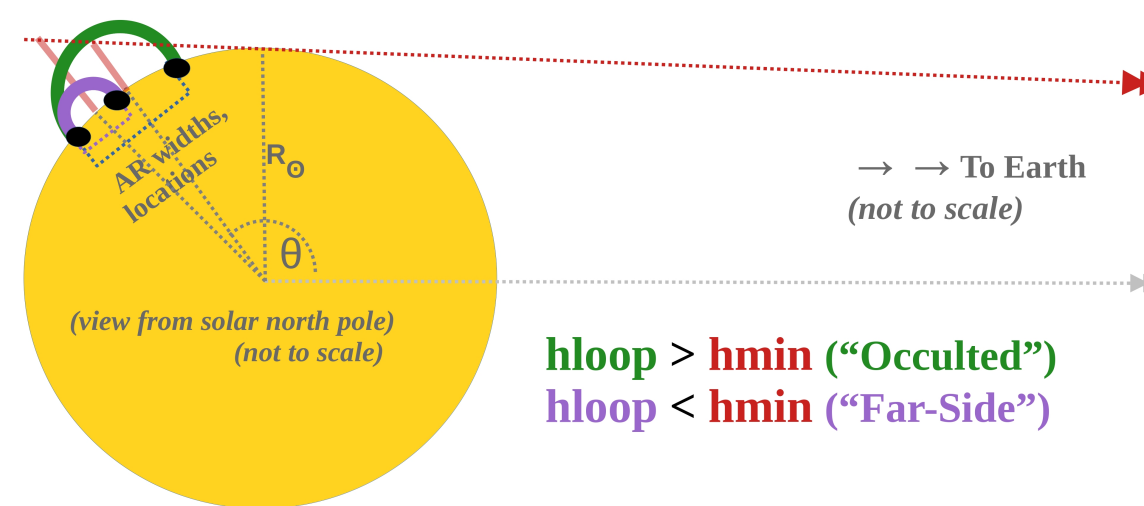
Parameterizing the 4π Active Regions



AFT ID	2014010148
NEAR VIS	1
ASSIMILATED	1
STONY_LAT	-16.1418
STONY_CMD	91.8202
MU	-0.015526
FLUX_TOT	1.48E+19
FLUX_NET	3.48E+18
BZ_MEAN	27.6533
BZ_STDEV	170.626
BZ_SKEW	-0.0309537
BZ_KURT	5.93877
GRAD_BZ_MEAN	6.91013
GRAD_BZ_STDEV	5.64238
GRAD_BZ_SKEW	1.31072
GRAD_BZ_KURT	4.53926
ML_AREA	2.89E+15
ML_FLUX_TOT	2.96E+17
GRAD_ML_BZ_MEAN	7.48793
GRAD_ML_BZ_STDEV	5.95202
GRAD_ML_BZ_SKEW	1.41477
GRAD_ML_BZ_KURT	2.09746
HCRIT	49.001
HWP_MEAN	-4.88526
HWP_STDEV	5.26846
HWP_SKEW	-1.25058
HWP_KURT	-4.67471
HWP_AREA	2.51E+16
HWP_ML_AREA	1.36E+15
POWER_SLOPE	-3.3972

"Proof of Concept"

- Focus on two parameters "known" to be relevant for energetic events:
 - Total Magnetic Flux
 - Schrijver's "f": magnetic flux near strong-gradient polarity-inversion lines [Schrijver 2007]
- "Visibility" index: near side, far-side (fully) invisible, or "occulted" (near-limb or just-beyond) with likely-visible loops and SXR flares, according to location and size of active region.



LEFT: a simple model by which we label a beyond-limb region as "occulted" but from which X-ray flare radiation may be visible.

If the "size" of the AR implies visible coronal loops, it is considered "occulted" for which a forecast is needed. If not, it is fully invisible and not included in the statistics.

Regions at least partially outside the AFT assimilation window but with μ>0 are also considered "occulted", as most forecasting facilities cannot provide forecasts due to poor or unavailable data.